

Faster & Deterministic FPT Algorithm for Worst-Case Tensor Decomposition

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Tensors

- **Tensor:** Higher Dimension versions of Matrices

$$\mathcal{T} = (\alpha_{j_1, j_2, \dots, j_d}) \in \mathbb{F}^{n_1 \times \dots \times n_d}$$

\mathcal{T} is a d -dimensional tensor with shape $[n_1] \times \dots \times [n_d]$. $\mathbb{F} = \mathbb{R}$ or \mathbb{C}

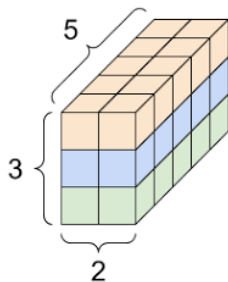


Figure: A 3-dimensional $[3] \times [5] \times [2]$ shaped Tensor

- Rank of a Tensor (CP rank)

Tensor rank

Minimum k s.t. \mathcal{T} can be written as sum of k rank-1 tensors

- **Tensor Decomposition:** Computing the k rank-1 tensors

$$\mathcal{T} = \sum_{i=1}^k v_{i1} \otimes \cdots \otimes v_{id}$$

where $v_{ij} \in \mathbb{F}^{n_j}$, \otimes is the Kronecker/Outer Product

Tensor Decomposition: Applications

An algorithmic primitive with many applications

- **Complexity** (Matrix Multiplication) and **Combinatorics** (Capset, Sunflower)
- **Machine Learning** (Learning Mixture of Gaussians, Dictionary Learning, Topic Modeling, etc.)
- **Statistics** (Cumulants, Blind source separation, etc.)
- **Signal processing** (Independent component analysis, Community detection, Multi-reference alignment, etc.)
- Phylogenetic reconstruction, Quantum Information Theory, Fluorescence spectroscopy.

(see [Lan12])

Tensor Decomposition: Complexity

- [Hås90] showed finding Tensor rank is NP-hard for $d \geq 3$.
- [SS16] hardness related to solving a system of polynomial equations.

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- [Hås90] showed finding Tensor rank is NP-hard for $d \geq 3$.
- [SS16] hardness related to solving a system of polynomial equations.
- Studied in various settings such as finding decomposition for Random Tensors, Generic tensors, and Worst-case analysis.
- We are interested in the setting of worst-case tensor decomposition where $d \approx \text{poly}(n)$, $k \approx \log^{1/c} n$ for some constant c .
- Our Goal is to come up with **Deterministic** Tensor decomposition algorithms that run in time $2^{\text{poly}(k)} \cdot \text{poly}(n, d)$.

Reconstruction of Arithmetic Circuits

Arithmetic Circuits

- Circuit computing a polynomial $f \in \mathbb{F}[x_1, \dots, x_n]$.
- Gates are $+$, \times . Leaves have $\{x_1, \dots, x_n, \mathbb{F}\}$.
- Edges with labels from \mathbb{F} (1 by default).

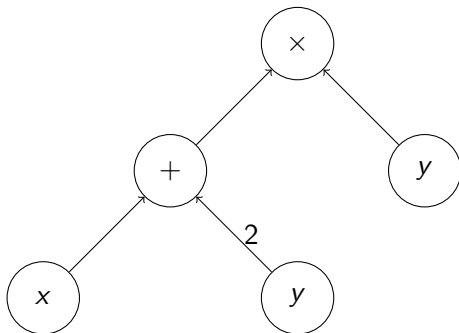


Figure: Circuit computing $xy + 2y^2$

Reconstruction of Arithmetic Circuits

Reconstruction

Let f be a polynomial computed by a circuit C from class \mathcal{C} . Given Black-box access to evaluations of a f , efficiently output a circuit computing f .

- **Proper learning:** The output circuit should also be from the class \mathcal{C} .

Reconstruction of Arithmetic Circuits

Reconstruction

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- **Proper learning:** The output circuit should also be from the class \mathcal{C} .
- **Depth-2:** $\Sigma\Pi$ Interpolation [BOT88, KS01], $\Pi\Sigma$ Factoring [Kal87]
- Polytime reconstruction for Depth-3 Arithmetic circuits $\Sigma\Pi\Sigma$ give subexponential time reconstruction for general circuits [GKKS13].
- Polytime PAC-Learning for depth-3 circuits give quantum polytime SVP algorithms [KS09b].

Set-Multilinear Polynomials

- **Multilinear:** In each monomial, the degree of each variable is 1
- **Set-Multilinear:** Variables partitioned into $X = \sqcup_{j \in [d]} X_j$, each monomial is of the form $x_{i_1} x_{i_2} \dots x_{i_d}$ where $x_{i_j} \in X_j$.

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- A circuit is multilinear/set-multilinear if the polynomial computed at every gate is multilinear/set-multilinear.

Depth-3 Set-Multilinear circuits $\Sigma\Pi\Sigma_{\{\sqcup_j X_j\}}(k)$

$$C(X) = \sum_{i=1}^k \prod_{j=1}^d \ell_{i,j}(X_j)$$

Equivalence of the Two problems

- Tensor \mathcal{T} d -dimensional with shape $[n_1] \times \dots \times [n_d]$

$$\mathcal{T} = (\alpha_{j_1, j_2, \dots, j_d}) \in \mathbb{F}^{n_1 \times \dots \times n_d}$$

- \mathcal{T} has decomposition

$$\mathcal{T} = \sum_{i=1}^k v(\ell_{i,1}) \otimes \dots \otimes v(\ell_{i,d})$$

where $v(\ell_{i,j})$ is $\ell_{i,j}$ as vector in \mathbb{F}^{n_j} .

- Set-Multilinear Polynomial $f_{\mathcal{T}}$ over variables $X = \sqcup_i X_i$,
 $X_i = \{x_{i,1}, \dots, x_{i,n_i}\}$

$$f_{\mathcal{T}} := \sum_{\vec{j} \in \mathcal{T}} \alpha_{j_1, j_2, \dots, j_d} x_{1,j_1} x_{2,j_2} \dots x_{d,j_d}$$

- $C(X)$ is a $\Sigma\Pi\Sigma_{\{\sqcup_j X_j\}}(k)$ circuit computing $f_{\mathcal{T}}$

$$f_{\mathcal{T}} = C(X) := \sum_{i=1}^k \prod_{j=1}^d \ell_{i,j}(X_j)$$

Past Work

Results	Algorithm Type	Running Time	Works for
[Shp07]	Randomized	$\text{poly}(n, d, \mathbb{F})$	<i>Multilinear</i> $\Sigma\Pi\Sigma(2)$
[KS09a]	Deterministic	$\text{poly}(n, d^{k^2}, \mathbb{F})$	<i>Multilinear</i> $\Sigma\Pi\Sigma(k)$
[BSV21]	Deterministic	$\text{poly}(d^{k^3}, k^{k^{k^{10}}}, n)$	Both (Multi./SM)
[PSV24]	Randomized	$k^{k^{k^{\mathcal{O}(k)}}} \cdot \text{poly}(n, d)$	Both (Multi./SM)
[BS25]	Deterministic	$2^{\text{poly}(k)} \cdot \text{poly}(n, d)$	Set-Multilinear only

Table: Comparison of our results to previous works.

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NP-hardness and Exponential time Hypothesis $\implies 2^{\Omega(k)} \cdot \text{poly}(n, d)$
running time for tensor decomposition.

Our Results

Theorem (Learning $\Sigma\Pi\Sigma_{\{\sqcup_j x_j\}}(k)$ circuits)

*Given blackbox access to degree d , n variate polynomial f computable by a set-multilinear $\Sigma\Pi\Sigma_{\{\sqcup_j x_j\}}(k)$ circuit C with top fan-in k over $\mathbb{F} = \mathbb{R}$ or \mathbb{C} , then there exists a **deterministic** algorithm that outputs a set-multilinear $\Sigma\Pi\Sigma_{\{\sqcup_j x_j\}}(k)$ circuit over \mathbb{F} with top fan-in k computing f in time $F(k, n, d) = 2^{\text{poly}(k)} \cdot \text{poly}(n, d)$.*

Difficult to improve over $2^{\text{poly}(k)}$ using approaches that use solving system of polynomial equations.

Corollary (Decomposing rank- k tensors)

*Let $\mathcal{T} \in \mathbb{F}^{n_1 \times \dots \times n_d}$ be a d -dimensional tensor of rank at most k with $\mathbb{F} = \mathbb{R}$ or \mathbb{C} . Let $n = \sum_{i=1}^d n_i$. Given black-box access to measurements of \mathcal{T} (equivalently to evaluations of $f_{\mathcal{T}}$), there exists a **deterministic** $\text{poly}(2^{\text{poly}(k)}, d, n)$ time algorithm for computing a decomposition of \mathcal{T} as a sum of at most k rank 1 tensors.*

Our Results: Finite Fields

Theorem (Learning $\Sigma\Pi\Sigma_{\{\sqcup_j x_j\}}(k)$ circuits over \mathbb{F}_q)

*Given blackbox access to degree d , n variate polynomial f computable by a set-multilinear $\Sigma\Pi\Sigma_{\{\sqcup_j x_j\}}(k)$ circuit C with top fan-in k over $\mathbb{F} = \mathbb{F}_q$, then there exists a **randomized** algorithm that outputs a set-multilinear $\Sigma\Pi\Sigma_{\{\sqcup_j x_j\}}(k)$ circuit over \mathbb{F} with top fan-in k computing f in time $F(k, n, d) = 2^{2^{\text{poly}(k)}} \cdot \text{poly}(n, d)$.*

Time Blow-up and Randomization are both from procedures for solving systems of polynomial equations.

Proof Idea: Required Tools

Polynomial Identity Testing

- For $\Sigma\Pi\Sigma$ circuit $C = \sum_{i=1}^k T_i$

$$\Delta(T_1, T_2) = \text{rank}(\text{sim}(T_1 + T_2)) = \dim(\{\ell_{i,j} : \ell_{i,j} \notin \gcd(T_1, T_2)\})$$

- Let $C = \sum_{i=1}^k T_i$ be $\Sigma\Pi\Sigma_{\{\sqcup_j x_j\}}(k)$ computing 0.

$$\forall i \neq j \in [k], \Delta(T_i, T_j) \leq k - 2$$

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- [GG20] Deterministic PIT for $\Sigma\Pi\Sigma_{\{\sqcup_j x_j\}}(k)$ in time $2^{\mathcal{O}(\log^2 k)} \cdot \text{poly}(n)$
- [SV10] Assume $\gcd(T_1, \dots, T_k) = 1$.

Solving System of Polynomial

Theorem

Let $f_1, f_2, \dots, f_m \in \mathbb{F}[x_1, \dots, x_n]$ be n -variate polynomials of degree at most d . Then, the complexity of finding a single solution to the system $f_1(x) = 0, \dots, f_m(x) = 0$ (if one exists) over various fields is as follows:

- 1 [GV88] For $\mathbb{F} = \mathbb{R}$, deterministic $\text{Sys}_{\mathbb{F}}(n, m, d) = \text{poly}((md)^{n^2})$ time.
- 2 [Jer89] For $\mathbb{F} = \mathbb{C}$ (or any algebraically closed field) deterministic $\text{Sys}_{\mathbb{F}}(n, m, d) = (mn)^{O(n)} \cdot d^{O(n^2)}$ time.
- 3 For all fields \mathbb{F} , the $\text{Sys}_{\mathbb{F}}(n, m, d) = \text{poly}((nmd)^{3^n})$.

Proof Idea: Techniques from BSV21

Lemma ([BSV21])

Given black-box access to a degree d polynomial $f \in \mathbb{F}[X]$ such that f is computable by a $\Sigma\Pi\Sigma_{\{\sqcup_j X_j\}}(k)$ circuit C_f over the field $\mathbb{F} = \mathbb{R}$ or \mathbb{C} , there is a deterministic $2^{\text{poly}(k,d)} \cdot \text{poly}(n, d)$ time algorithm that outputs a $\Sigma\Pi\Sigma_{\{\sqcup_j X_j\}}(k)$ circuit computing f .

Lemma (Observed from [BSV21])

Given black-box access to an $\Sigma\Pi\Sigma_{\{\sqcup_j x_j\}}(k)$ circuit $C = T_1 + T_2 + \dots + T_k$ computing f , there exists an algorithm that runs in time $2^{\text{poly}(k)} \cdot \text{poly}(n, d)$ and outputs a $\Sigma\Pi\Sigma_{\{\sqcup_j x_j\}}(k)$ circuit C' such that $C' = T'_1 + T'_2 + \dots + T'_k$ has the property that $\forall i \in [k] \Delta(T_i, T'_i) < 2k$.

Bottleneck: Brute-force over $\binom{d}{k^3}$ choices of variable parts in [BSV21] to go from C' to C .

Proof Idea: New Ideas

- Consistent – $\text{VarPart}(C, C') := \{j \in [d] \mid \forall i \in [k] \ell_{i,j} \in T_i \cap T'_i\}$
- $|\text{Consistent} - \text{VarPart}(C, C')| \geq d - k^2$.
- **Assumption:** $\exists j \in \text{Consistent} - \text{VarPart}(C, C')$ such that

$$\ell_{1,j} \notin \text{span}(\ell_{2,j}, \dots, \ell_{k,j})$$

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$$\ell_{1,j} \notin \text{span}(\ell_{2,j}, \dots, \ell_{k,j})$$

- Set $X_j = \bar{\alpha}_j$ such that T_2, \dots, T_k vanish, but T_1 doesn't. Learn $T_1(X_j = \bar{\alpha}_j)$.
- Recover $T_1 := T_1(X_j = \bar{\alpha}_j) \cdot \frac{\ell_{1,j}}{\ell_{1,j}(\bar{\alpha}_j)}$.
- Reconstruct $\Sigma \Pi \Sigma_{\{\sqcup_j X_j\}}(k-1)$ circuit $C - T_1$.

Assumption is not True

- Iterate over variable parts in $\text{Consistent} - \text{VarPart}(C, C')$ and Decrease fan-in by 1.
- Go until only T_1 is alive.

Assumption is not True

- Iterate over variable parts in $\text{Consistent} - \text{VarPart}(C, C')$ and Decrease fan-in by 1.
- Go until only T_1 is alive.
- What if T_1, T_i are **same** on all variable parts in $\text{Consistent} - \text{VarPart}(C, C')$? i.e. C' cannot help us differentiate between T_1, T_i .

- Cluster gates close to T_1 together in set $A \subseteq [k]$.
- New Goal, learn C_A .

Lemma

\exists clustering $A \subseteq [k]$ s.t.

$$\forall i \notin A, \Delta_A(C_A, T_i) \geq k^2 + k$$

and

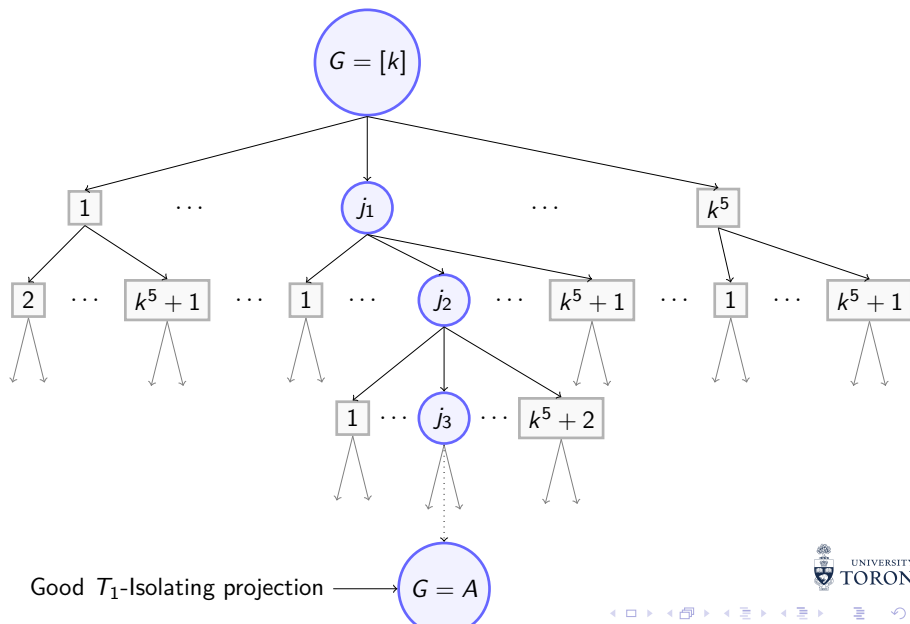
$$\Delta(C_A) = \text{rank}(\text{sim}(\sum_{i \in A} T_i)) \leq k^4 + k^3$$

- $\text{Good} - \text{Proj} = \text{Consistent} - \text{VarPart}(C, C') \cap \text{Supp}(\text{Lin}(C_A)).$
- $|\text{Good} - \text{Proj}| \geq d - 2k^4 - k^3.$ Use $\text{Good} - \text{Proj}$ instead of $\text{Consistent} - \text{VarPart}(C, C').$

Isolating Cluster

- $\text{Good} - \text{Proj} = \text{Consistent} - \text{VarPart}(C, C') \cap \text{Supp}(\text{Lin}(C_A))$.
- $|\text{Good} - \text{Proj}| \geq d - 2k^4 - k^3$. Use $\text{Good} - \text{Proj}$ instead of $\text{Consistent} - \text{VarPart}(C, C')$.
- Pick $k^5 + 1$ var. parts in $[d]$, at least 1 in $\text{Good} - \text{Proj}$
- Set $X_j = \bar{\alpha}_j$ s.t. C_A survives, some $T_i \notin A$ is set to 0.

Isolating Cluster



- Cluster is isolated after at most k settings ($\sigma := (X_{j_1} = \bar{\alpha}_{j_1}), \dots$)
- Factor and Reconstruct $\text{sim}(C_A)$ using low-degree reconstruction. Recall $d \leq \Delta(C_A) \leq k^4 + k^3$. Learn $C_A|_{\sigma}$.

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- Learn

$$C_A = \frac{\prod_{X_j \in \sigma} \ell_{1,j}(X_j)}{\prod_{X_j \in \sigma} \ell_{1,j}(\bar{\alpha}_j)} \cdot C_A|_\sigma$$

Learning Full Circuit

- Learn $C' = C - C_A$.
- Check with PIT if the output circuit computes the same polynomial as C .

Thank You.



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